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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Rodriguez et al.

§ Group Art Unit: 2131

JAN 07 2002

Serial No.: 09/942,634

§ Examiner: Unknown

Group 2100

Filed: August 30, 2001

§ Attorney Docket No.: AUS920010559US1

§

For: Apparatus and Method for
Security Object Enhancement and
Management

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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11-27-01

By:

Dell Whilton

Dell Whilton

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AM; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

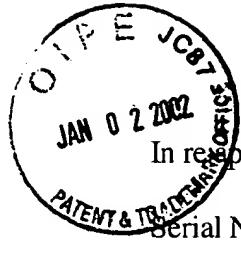
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ATTORNEY FOR APPLICANT



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For: Apparatus and Method for Security Object Enhancement and Management

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: 11/27/01



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Page 1 of 1

| Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i> | | | | ATTORNEY DOCKET NO. AUS920010559US1 | SERIAL NO. 09/942,634 | |
|--|--------------|-------------|------------------|---|---------------------------------|-----------------------|
| | | | | RECEIVED | | |
| APPLICANT Rodriguez et al. | | | | 'JAN 07 2002 | | |
| FILING DATE August 30, 2001 | | | | Group 2100 GROUP ART UNIT 2131 | | |
| U.S. PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | DOCUMENT NO. | | PUBLICATION DATE | INVENTOR NAME | CLASS/ SUBCLASS | FILING DATE |
| | AA | 5592553 | Jan. 7, 1997 | Guski et al. | 380/23 | Feb. 8, 1996 |
| | AB | 5661807 | Aug. 26, 1997 | Guski et al. | 380/25 | Aug. 18, 1995 |
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| | AL | 5619571 | Apr. 8, 1997 | Sandstrom et al. | 380/4 | Jun. 1, 1995 |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | DOCUMENT NO. | | PUBLICATION DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
| | AM | JP10091266A | Apr. 10, 1998 | Japan | G06F 1/00 | X |
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| OTHER PRIOR ART (including author, title, date, pertinent page, etc.) | | | | | | |
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